Designing with the Mind in Mind
Simple Guide to Understanding User Interface Design Rules
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Jeff Johnson
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I could not have written this book without a lot of help and support.

First to mention are the students of the Human-Computer Interaction course I taught as an Erskine Fellow at the University of Canterbury in New Zealand in the winter semester of 2006. It was for them that I developed a lecture providing a brief background in perceptual and cognitive psychology—just enough to enable them to understand and apply user interface design guidelines. That lecture expanded into a professional development course, then into this book.

Second are the reviewers of the first draft: Susan Fowler, Robin Jeffries, Tim McCoy, and Jon Meads. They made many helpful comments and suggestions that allowed me to greatly improve the book.

Third are three cognitive science researchers who provided useful content, directed me to valuable readings, or allowed me to bounce ideas off of them: Prof. Edward Adelson (M.I.T. Dept. of Brain and Cognitive Sciences), Prof. Dan Osherson (Princeton University Dept. of Psychology), and Dr. Dan Bullock (Boston University Dept. of Cognitive and Neural Systems).

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Valuable additional copyediting was provided by Cate de Heer. Most importantly, I thank my wife and friend Karen Ande for her love and support while I was researching and writing this book, all the more remarkable because it coincided with the period when she was completing a book of her own: *Face To Face: Children of the AIDS Crisis in Africa*, a photography book documenting the plight of children orphaned by AIDS in sub-Saharan Africa (FaceToFaceAfrica.com).
Foreword

The design of interactive computer systems is not only an art, but, at least aspirationally, a science. Well, not a science, actually, but rather a kind of joint computer-cognitive engineering, that is, science-based techniques to create interactive systems satisfying specified requirements.

Like cars, buildings, and clothes, interactive computing artifacts can emotionally delight, exhibit style and fashion, and have social significance. There is much room for art and industrial design in making things that pop, flash, and interact. But the resulting artifacts also have to work correctly and to flow with human activity. A beautiful building whose soaring windows roast its inhabitants in the summer or whose trusses buckle in a storm is a failure. Designers need methods to put latitude, season, fenestration, volume, and circulation together to predict heating loads before building the building. They also need a stockpile of technology component solutions, like thermopane glass, blinds, overhangs, and fans to choose among as part of the standard engineering of a solution. Engineering does not replace art in a design, it makes it possible.

Engineering is hard enough for architecture; it is harder still for interactive artifacts, for the simple reason that it is easier to get a science of buildings than one of people. Providing such a supporting science and engineering has been a founding aspiration of the field of human-computer interaction. How to do it? The most basic method is by “usability testing”—watch users doing tasks, discover their difficulties, and fix these through redesign. Usability testing is useful, necessary, and inefficient. The results don’t cumulate very well into a discipline anything like engineering, and it isn’t very insightful about why things break. It’s the cognitive equivalent of roasting the users to find the effect of the large windows. But usability testing can find many of a system’s flaws. It is a feasible method, because interactive systems are often much easier to change than rebuilding a building.

Better would be to avoid many of the errors in the first place, and one method is through design rules. Instead of rediscovering over and over through usability testing that interfaces depending on red and green are bad for color-blind users, just make it a design rule to use color redundantly with other cues. Design rules, however, turn out to have their own problems. In practice, design rules may be ambiguous or require subtle interpretation of context or contradict other guidelines. And that brings us to the current book.

The idea of the present book is to unite design rules with the supporting cognitive and perceptual science that is at their core. This format has several merits: the psychological science is made concrete and easy to absorb by connecting to actual designs, and the design rules are made easier to adjust for context, since they are related to their deeper rationale.

Jeff Johnson is the perfect author to attempt such a book. His whole career has combined work on both the interface design side and the psychological science...
Foreword

side. I first met him when he was on the user interface team of the Xerox Star series of products—the first commercial graphical user interface. So on the design side, he was essentially in at the beginning of GUIs. On the psychology side, he did degrees at Yale and Stanford. Putting design and psychology together, he worked on commercial interactive systems, taught at the university, and worked as a consultant. His trademark is using concrete design examples to illustrate abstract principles. In fact, he is famous for driving his points home memorably by exhibiting “blooper” examples of bad designs—and so he does in this book.

There is a third method of using science to help engineer a system that goes beyond design rules—design models. Jeff’s book shows examples of how to use this method, too. He shows how to model the task context in terms of object and actions and how to understand real-time interaction constraints.

In sum, this is a book that advances the goal of a supporting engineering method for interactive system design. At the same time, it is a primer to understand the why of the larger human action principles at work—a sort of cognitive science for designers in a hurry. Above all, this is a book of profound insight into the human mind for practical people who want to get something done.

—Stuart Card
Introduction

USER-INTERFACE DESIGN RULES: WHERE DO THEY COME FROM AND HOW CAN THEY BE USED EFFECTIVELY?

For as long as people have been designing interactive computer systems, some have attempted to promote good design by publishing user-interface design guidelines (also called design rules). Early ones included:

- **Cheriton** (1976) proposed user-interface design guidelines for early interactive (time-shared) computer systems.
- **Norman** (1983a, 1983b) presented design rules for software user interfaces based on human cognition, including cognitive errors.
- **Smith and Mosier** (1986) wrote perhaps the most comprehensive set of user-interface design guidelines.
- **Nielsen and Molich** (1990) offered a set of design rules for use in heuristic evaluation of user interfaces.
- **Marcus** (1991) presented guidelines for graphic design in online documents and user interfaces.

In the twenty-first century, additional user interface design guidelines have been offered by Stone *et al.* (2005), Koyani, Bailey, and Nall (2006), Johnson (2007), and Shneiderman and Plaisant (2009). Microsoft, Apple Computer, and Oracle publish guidelines for designing software for their platforms (Apple Computer, 2009; Microsoft Corporation, 2009; Oracle Corporation/Sun Microsystems, 2001).

How valuable are user-interface design guidelines? That depends on who applies them to design problems.

USER EXPERIENCE DESIGN AND EVALUATION REQUIRES UNDERSTANDING AND EXPERIENCE

Following user-interface design guidelines is not as straightforward as following cooking recipes. Design rules often describe goals rather than actions. They are purposefully
very general to make them broadly applicable, but that means that their exact meaning and their applicability to specific design situations is open to interpretation.

Complicating matters further, more than one rule will often seem applicable to a given design situation. In such cases, the applicable design rules often conflict, i.e., they suggest different designs. This requires designers to determine which competing design rule is more applicable to the given situation and should take precedence.

Design problems—even without competing design guidelines—often have multiple conflicting goals. e.g.:

- bright screen and long battery life
- lightweight and sturdy
- multifunctional and easy to learn
- powerful and simple
- WYSIWIG (what you see is what you get) and usable by blind people

Satisfying all the design goals for a computer-based product or service usually requires tradeoffs—lots and lots of tradeoffs. Finding the right balance point between competing design rules requires further tradeoffs.

Given all of these complications, user-interface design rules and guidelines must be applied thoughtfully, not mindlessly, by people who are skilled in the art of UI design and/or evaluation. User-interface design rules and guidelines are more like laws than like rote recipes. Just as a set of laws is best applied and interpreted by lawyers and judges who are well versed in the laws, a set of user-interface design guidelines is best applied and interpreted by people who understand the basis for the guidelines and have learned from experience in applying them.

Unfortunately, with a few exceptions (e.g., Norman, 1983a), user-interface design guidelines are provided as simple lists of design edicts with little or no rationale or background.

Furthermore, although many early members of the user-interface design and usability profession had backgrounds in cognitive psychology, most newcomers to the field do not. That makes it difficult for them to apply user-interface design guidelines sensibly.

Providing that rationale and background education is the focus of this book.

COMPARING USER-INTERFACE DESIGN GUIDELINES

Table I.1 places the two best-known user-interface guideline lists side by side to show the types of rules they contain and how they compare to each other (see the Appendix for additional guidelines lists). For example, both lists start with a rule calling for consistency in design. Both lists include a rule about preventing errors. The Nielsen-Molich rule “Help users recognize, diagnose, and recover from errors” corresponds closely to the Shneiderman-Plaisant rule to “Permit easy reversal of actions.” “User control and freedom” corresponds to “Make users feel they are in control.” There is a reason for this similarity, and it isn’t just that later authors were influenced by earlier ones.
WHERE DO DESIGN GUIDELINES COME FROM?

For present purposes, the detailed design rules in each set of guidelines, such as those in Table I.1, are less important than what they have in common: their basis and origin. Where did these design rules come from? Were their authors—like clothing fashion designers—simply trying to impose their own personal design tastes on the computer and software industries?

If that were so, the different sets of design rules would be very different from each other as the various authors sought to differentiate themselves from the others. In fact, all of these sets of user-interface design guidelines are quite similar if we ignore differences in wording, emphasis, and the state of computer technology when each set was written. Why?

The answer is that all of the design rules are based on human psychology: how people perceive, learn, reason, remember, and convert intentions into action. Many authors of design guidelines had at least some background in psychology that they applied to computer system design.

For example, Don Norman was a professor, researcher, and prolific author in the field of cognitive psychology long before he began writing about human-computer interaction. Norman’s early human-computer design guidelines were based on research—his own and others’—on human cognition. He was especially interested in cognitive errors that people often make and how computer systems can be designed to lessen or eliminate the impact of those errors.

Similarly, other authors of user-interface design guidelines—e.g., Brown, Shneiderman, Nielsen, and Molich—used knowledge of perceptual and cognitive psychology to try to improve the design of usable and useful interactive systems.

Bottom line: user-interface design guidelines are based on human psychology.

By reading this book, you will learn the most important aspects of the psychology underlying user-interface and usability design guidelines.

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<tr>
<td>● Strive for consistency</td>
<td>● Consistency and standards</td>
</tr>
<tr>
<td>● Cater to universal usability</td>
<td>● Visibility of system status</td>
</tr>
<tr>
<td>● Offer informative feedback</td>
<td>● Match between system and real world</td>
</tr>
<tr>
<td>● Design task flows to yield closure</td>
<td>● User control and freedom</td>
</tr>
<tr>
<td>● Prevent errors</td>
<td>● Error prevention</td>
</tr>
<tr>
<td>● Permit easy reversal of actions</td>
<td>● Recognition rather than recall</td>
</tr>
<tr>
<td>● Make users feel they are in control</td>
<td>● Flexibility and efficiency of use</td>
</tr>
<tr>
<td>● Minimize short-term memory load</td>
<td>● Aesthetic and minimalist design</td>
</tr>
<tr>
<td></td>
<td>● Help users recognize, diagnose, and recover from errors</td>
</tr>
<tr>
<td></td>
<td>● Provide online documentation and help</td>
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INTENDED AUDIENCE OF THIS BOOK

This book is intended mainly for software development professionals who have to apply user-interface and interaction design guidelines. This of course includes interaction designers, user-interface designers, and user-experience designers, graphic designers, and hardware product designers. It also includes usability testers and evaluators, who often refer to design heuristics when reviewing software or analyzing observed usage problems.

A second audience for this book is software development managers who want enough of a background in the psychological basis for user-interface design rules to understand and evaluate the work of the people they manage.